



JANUARY 24, 2002

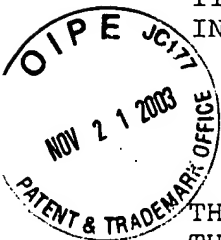
PTAS

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov

WOODWARD EMHARDT NAUGHTON
MORIARTY & MCNETT
DOUGLAS A. COLLIER
111 MONUMENT CIRCLE, SUITE 3700
INDIANAPOLIS, INDIANA 46201



101943861A



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/17/2001

REEL/FRAME: 012005/0618
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FOLEY, KEVIN T.

DOC DATE: 07/09/2001

ASSIGNOR:

NEEDHAM, DUSTY ANNA

DOC DATE: 07/06/2001

ASSIGNOR:

BURD, BRIAN A.

DOC DATE: 07/05/2001

ASSIGNEE:

SDGI HOLDINGS, INC.
300 DELAWARE AVE., SUITE 508
WILMINGTON, DELAWARE 19801

SERIAL NUMBER: 09907022

PATENT NUMBER:

FILING DATE: 07/17/2001

ISSUE DATE:

RECEIVED
NOV 26 2003
GROUP 3600

RECEIVED

FEB 04 2002

Woodard, Emhardt, Naughton

012005/0618 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-22-2002



101943861

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)

REC

WENMM-1595 (05/01)

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kevin T. Foley *July 9, 2001
Dusty Anna Needham *July 6, 2001
Brian A. Burd *July 5, 2001

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: See above *

2. Name and address of receiving party(ies)

Name: SDGI Holdings, Inc.

Internal Address: _____

Street Address: 300 Delaware Ave., Suite 508City: Wilmington State: Delaware Zip: 19801Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: July 17, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas A. CollierInternal Address: WOODARD EMHARDT NAUGHTONMORIARTY & MCNETTStreet Address: 111 Monument Circle, Suite 3700City: Indianapolis State: Indiana Zip: 462016. Total number of applications and patents involved 17. Total fee (37 CFR 3.41).....\$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas A. Collier

Name of Person Signing

Signature

July 17, 2001

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

07/30/2001 TBIAZ1 00000017 09907022

01 FC:581

40.00 DP

ASSIGNMENT

WHEREAS, Kevin T. Foley, Dusty Anna Needham, and Brian A. Burd, hereinafter referred to as Assignors, whose addresses are 2877 Keasler Circle, Germantown, TN 38149; 180 Brockford Drive, Eads TN 38028; and 8285 Sturbridge Way #202, Cordova TN 38018, respectively, have made a new and useful invention entitled **SYSTEM AND METHOD FOR SECURING A PLATE TO THE SPINAL COLUMN**, for which Assignors have made or will make application for Letters Patent of the United States;

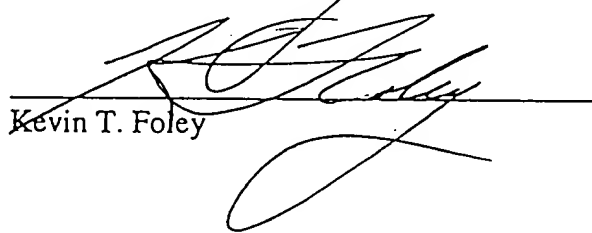
WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 300 Delaware Avenue, Suite 508, Wilmington, Delaware 19801, desires to acquire all of the entire right, title, and interest in, to and under said Invention disclosed, described and/or claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, To All Whom It May Concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions, continuations, and continuation-in-part, thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center/Tower, 111 Monument Circle, Suite 3700, Indianapolis, IN 46204-5137 to insert here in parentheses (Serial No. 09/907,022, Filed July 17, 2001) the date and serial number of said application when officially known, and Assignors hereby authorize and request the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said Inventions in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 24th day of July, 2001.


Kevin T. Foley

WITNESS Assignor's hands this _____ day of _____, 2001.

Dusty Anna Needham

WITNESS Assignor's hands this _____ day of _____, 2001.

Brian A. Burd

ASSIGNMENT

WHEREAS, Kevin T. Foley, Dusty Anna Needham, and Brian A. Burd, hereinafter referred to as Assignors, whose addresses are 2877 Keasler Circle, Germantown, TN 38149; 180 Brockford Drive, Eads TN 38028; and 8285 Sturbridge Way #202, Cordova TN 38018, respectively, have made a new and useful invention entitled **SYSTEM AND METHOD FOR SECURING A PLATE TO THE SPINAL COLUMN**, for which Assignors have made or will make application for Letters Patent of the United States;

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 300 Delaware Avenue, Suite 508, Wilmington, Delaware 19801, desires to acquire all of the entire right, title, and interest in, to and under said Invention disclosed, described and/or claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, To All Whom It May Concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions, continuations, and continuation-in-part, thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center/Tower, 111 Monument Circle, Suite 3700, Indianapolis, IN 46204-5137 to insert here in parentheses (Serial No. 09/907,022, Filed July 17, 2001) the date and serial number of said application when officially known, and Assignors hereby authorize and request the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said Inventions in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this _____ day of _____, 2001.

Kevin T. Foley

WITNESS Assignor's hands this 06 day of July, 2001.

Dusty Anna Needham
Dusty Anna Needham

WITNESS Assignor's hands this 05 day of July, 2001.

Brian A. Burd
Brian A. Burd

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/907.022
Filing Date	July 17, 2001
First Named Inventor	Kevin T. Foley et al.
Group Art Unit	3732
Examiner Name	
Total Number of Pages in this Submission	Attorney Docket Number 4002-2716

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Resubmission Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	X Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	X Request for Corrected Assignment
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	X Copy of Notice of Recordation of Assignment Doc. Marked in red
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Douglas A. Collier WOODARD EMHARDT NAUGHTON MORIARTY & MCNETT
Signature	<i>Douglas A. Collier #43,556</i>
Date	October 24, 2001

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on this date: October 24, 2001			
Typed or printed name	Douglas A. Collier		
Signature	<i>Douglas A. Collier</i>	Date	Oct. 24, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent)	Before the Examiner
application of:)	
Kevin T. Foley et al.)	
Serial No. 09/907,022)	Group Art Unit 3732
Filed July 17, 2001)	
SYSTEM AND METHOD FOR SECURING)	
A PLATE TO THE SPINAL COLUMN)	October 24, 2001

REQUEST FOR CORRECTED ASSIGNMENT

Assistant Commissioner for Patents
 Assignment Division
 Box Assignment, CG-4
 1213 Jefferson Davis Hwy, Suite 320
 Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the Notice of Recordation of Assignment Document, Recordation Form Cover Sheet, and a copy of the Assignment that was recorded on July 17, 2001 at Reel 012005, Frame 0618. Please correct on the Notice of Recordation of Assignment Document the DOC DATE: for the third inventor (Brian A. Burd) from "07/02/2001" to --07/05/2001--. No fee is enclosed since this was a PTO error; however, please charge any fees associated with this document to Deposit Account 23-3030 if any are required. It is requested the Notice of Recordation of Assignment be corrected.

Respectfully submitted

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on October 24, 2001.

Douglas A. Collier
 Name of Registered Representative

Douglas A. Collier
 Signature

OCT-24-2001
 Date of Signature

Douglas A. Collier
 Douglas A. Collier
 Reg. No. 43,556
 Woodard, Emhardt, Naughton,
 Moriarty & McNett
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